

Almost Ramsey cardinals

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A set $X \subseteq \delta$ is *homogeneous* for a partition $F: [\delta]^{<\omega} \rightarrow 2$ iff $\forall n |F[[X]^n]| = 1$;

the *partition property* $\delta \rightarrow (\alpha)_2^{<\omega}$ is defined as

$$\forall F: [\delta]^{<\omega} \rightarrow 2 \exists X \subseteq \delta (\text{otp}(X) \geq \alpha \wedge X \text{ is homogeneous for } F).$$

An infinite cardinal κ is α -ERDÖS iff $\kappa \rightarrow (\alpha)_2^{<\omega}$,

it is RAMSEY iff $\kappa \rightarrow (\kappa)_2^{<\omega}$.

Definition 1. *An infinite cardinal κ is almost RAMSEY iff*

$$\forall \alpha < \kappa \kappa \rightarrow (\alpha)_2^{<\omega}.$$

For any uncountable almost RAMSEY cardinal κ the following substructure property holds: if $\lambda, \kappa', \lambda'$ are infinite cardinals satisfying $\lambda \leq \kappa, \lambda' \leq \kappa' < \kappa$, and $\lambda' \leq \lambda$ then $(\kappa, \lambda) \Rightarrow (\kappa', \lambda')$, which means that every first-order structure (κ, λ, \dots) with a countable language has an elementary substructure $X \prec (\kappa, \lambda, \dots)$ with $|X| = \kappa'$ and $|X \cap \lambda| = \lambda'$.

Theorem 2. *Con(ZFC + There exist cardinals $\kappa < \lambda$ such that κ is 2^λ supercompact where λ is the least regular almost RAMSEY cardinal greater than κ) implies Con(ZF + \neg AC $_\omega$ + Every successor cardinal is regular + Every (well-ordered) uncountable cardinal is almost RAMSEY).*

Theorem 3. *Assume ZF and that every infinite cardinal is almost RAMSEY. Then there exists an inner model with a strong cardinal.*

Theorem 4. *The following theories are equiconsistent*

- a) ZFC + *There is a proper class of regular almost RAMSEY cardinals;*
- b) ZF + *All infinite cardinals except possibly successors of singular limit cardinals are almost RAMSEY.*

Definition 5. For $\alpha \in \text{Ord}$ let $\kappa(\alpha)$ be the least κ such that $\kappa \rightarrow (\alpha)_2^{<\omega}$, if such a κ exists.

Proposition 6. (ZF) An infinite cardinal κ is almost RAMSEY iff $\kappa(\alpha)$ is defined for all $\alpha < \kappa$ and $\kappa = \bigcup_{\alpha < \kappa} \kappa(\alpha)$.

Proposition 7. (ZFC) Assume κ is almost RAMSEY. Then

- a) $\forall \alpha < \kappa \kappa(\alpha) < \kappa$;
- b) κ is a strong limit cardinal.

Proposition 8. Let M be a transitive model of “ZFC + κ is almost RAMSEY”. Let $N \supseteq M$ be a transitive model of ZFC such that $\forall \delta < \kappa \mathcal{P}(\delta) \cap M = \mathcal{P}(\delta) \cap N$. Then κ is almost RAMSEY in N .

Proof. Let $\alpha < \kappa$. By Proposition 7, $\kappa(\alpha)^M < \kappa$. $\mathcal{P}(\kappa(\alpha)^M) \cap M = \mathcal{P}(\kappa(\alpha)^M) \cap N$ implies that $\kappa(\alpha)^N = \kappa(\alpha)^M$. Hence $\kappa = \bigcup_{\alpha < \kappa} \kappa(\alpha)^N$ and κ is almost RAMSEY in N . \square

Proposition 9. (ZFC)

- a) Assume λ is a RAMSEY cardinal. Then the class of almost RAMSEY cardinals is closed unbounded below λ and the class of regular almost RAMSEY cardinals is stationary below λ .
- b) Assume κ is an uncountable regular almost RAMSEY cardinal. Then the class of almost RAMSEY cardinals is closed unbounded below λ .

Proposition 10. ZFC + There exists an uncountable regular almost RAMSEY cardinal \vdash Con(ZFC + There exists a proper class of (singular) almost RAMSEY cardinal).

Proposition 11. (ZF) *For infinite ordinals α the partition property $\kappa \rightarrow (\alpha)_2^{<\omega}$ is equivalent to: for any first-order structure $\mathcal{M} = (M, \dots)$ in a countable language S with $\kappa \subseteq M$ there is a set $X \subseteq \kappa$, $\text{otp}(X) \geq \alpha$ of indiscernibles, i.e., for all S -formulas $\varphi(v_0, \dots, v_{n-1})$, $x_0, \dots, x_{n-1} \in X$, $x_0 < \dots < x_{n-1}$, $y_0, \dots, y_{n-1} \in X$, $y_0 < \dots < y_{n-1}$ holds*

$$\mathcal{M} \models \varphi(x_0, \dots, x_{n-1}) \text{ iff } \mathcal{M} \models \varphi(y_0, \dots, y_{n-1}).$$

Proposition 12. (ZF) *Assume $\kappa \rightarrow (\alpha)_2^{<\omega}$ where α is a limit ordinal. Then for any first-order structure $\mathcal{M} = (M, \dots)$ in a countable language S with $\kappa \subseteq M$ there is a set $X \subseteq \kappa$, $\text{otp}(X) \geq \alpha$ of good indiscernibles, i.e., for all S -formulas $\varphi(v_0, \dots, v_{m-1}, w_0, \dots, w_{n-1})$, $x_0, \dots, x_{n-1} \in X$, $x_0 < \dots < x_{n-1}$, $y_0, \dots, y_{n-1} \in X$, $y_0 < \dots < y_{n-1}$, and $a_0 < \dots < a_{m-1} < \min(x_0, y_0)$ holds*

$$\mathcal{M} \models \varphi(a_0, \dots, a_{m-1}, x_0, \dots, x_{n-1}) \text{ iff } \mathcal{M} \models \varphi(a_0, \dots, a_{m-1}, y_0, \dots, y_{n-1}).$$

Proof. We may assume that the structure \mathcal{M} contains a unary predicate Ord for the ordinals in M ($= \kappa$) and a collection of SKOLEM functions for ordinal-valued existential statements, i.e., for every S -formula $\varphi(v, \vec{w})$ there is a function f of M such that

$$M \models \forall \vec{w} (\exists v (\text{Ord}(v) \wedge \varphi(v, \vec{w})) \rightarrow \varphi(f(\vec{w}), \vec{w})).$$

Choose a set $X \subseteq \kappa$, $\text{otp}(X) \geq \alpha$ of indiscernibles for M such that the minimum $\min(X)$ is minimal for all such sets of indiscernibles. Assume for a contradiction that X is not good. Then there is an S -formula $\varphi(v_0, \dots, v_{n-1})$, $x_0, \dots, x_{n-1} \in X$, $x_0 < \dots < x_{n-1}$, $y_0, \dots, y_{n-1} \in X$, $y_0 < \dots < y_{n-1}$ and $a_0 < \dots < a_{m-1} < \min(x_0, y_0)$ such that

$$M \models \varphi(a_0, \dots, a_{m-1}, x_0, \dots, x_{n-1}) \text{ and } M \models \neg \varphi(a_0, \dots, a_{m-1}, y_0, \dots, y_{n-1}).$$

Since α is a limit ordinal we can take $z_0, \dots, z_{n-1} \in X$, $z_0 < \dots < z_{n-1}$ such that $x_{n-1} < z_0$ and $y_{n-1} < z_0$. In case $M \models \varphi(a_0, \dots, a_{m-1}, z_0, \dots, z_{n-1})$, one has

$$M \models \neg \varphi(a_0, \dots, a_{m-1}, y_0, \dots, y_{n-1}) \text{ and } M \models \varphi(a_0, \dots, a_{m-1}, z_0, \dots, z_{n-1})$$

where $y_0 < \dots < y_{n-1} < z_0 < \dots < z_{n-1}$.

In case $M \models \neg \varphi(a_0, \dots, a_{m-1}, z_0, \dots, z_{n-1})$, one has

$$M \models \varphi(a_0, \dots, a_{m-1}, x_0, \dots, x_{n-1}) \text{ and } M \models \neg \varphi(a_0, \dots, a_{m-1}, z_0, \dots, z_{n-1})$$

where $x_0 < \dots < x_{n-1} < z_0 < \dots < z_{n-1}$. So in both cases we have an ascending $2n$ -tuple of indiscernibles, such that the first half behaves differently from the second half with respect to the formula φ and the parameters a_0, \dots, a_{m-1} . So without loss of generality we may assume that $x_0 < \dots < x_{n-1} < y_0 < \dots < y_{n-1}$ and

$$M \models \varphi(a_0, \dots, a_{m-1}, x_0, \dots, x_{n-1}) \text{ and } M \models \neg \varphi(a_0, \dots, a_{m-1}, y_0, \dots, y_{n-1}).$$

Write $\vec{x} = x_0, \dots, x_{n-1}$ and $\vec{y} = y_0, \dots, y_{n-1}$. Since M contains SKOLEM functions there are functions f_0, \dots, f_{m-1} of M which compute parameters like a_0, \dots, a_{m-1} :

$$M \models \exists v_1 < x_0 \exists v_2 < x_1 \dots \exists v_{m-1} < x_0 (f_0(\vec{x}, \vec{y}) < x_0 \wedge \varphi(f_0(\vec{x}, \vec{y}), v_1, \dots, v_{m-1}, \vec{x}) \wedge \neg \varphi(f_0(\vec{x}, \vec{y}), v_1, \dots, v_{m-1}, \vec{y}))$$

$$M \models \exists v_2 < x_0 \dots \exists v_{m-1} < x_0 (f_0(\vec{x}, \vec{y}) < x_0 \wedge f_1(\vec{x}, \vec{y}) < x_0 \wedge \varphi(f_0(\vec{x}, \vec{y}), f_1(\vec{x}, \vec{y}), \dots, v_{m-1}, \vec{x}) \wedge \neg \varphi(f_0(\vec{x}, \vec{y}), f_1(\vec{x}, \vec{y}), \dots, v_{m-1}, \vec{y}))$$

⋮

$$M \models f_0(\vec{x}, \vec{y}) < x_0 \wedge \dots \wedge f_{m-1}(\vec{x}, \vec{y}) < x_0 \wedge \varphi(f_0(\vec{x}, \vec{y}), f_1(\vec{x}, \vec{y}), \dots, f_{m-1}(\vec{x}, \vec{y}), \vec{x}) \wedge \neg \varphi(f_0(\vec{x}, \vec{y}), f_1(\vec{x}, \vec{y}), \dots, f_{m-1}(\vec{x}, \vec{y}), \vec{y})$$

Now consider $\vec{z} = z_0, \dots, z_{n-1} \in X$, $z_0 < \dots < z_{n-1}$ such that $y_{n-1} < z_0$.

(1) There is $k < m$ such that $f_k(\vec{x}, \vec{y}) \neq f_k(\vec{y}, \vec{z})$.

Proof. Assume not. Set $\xi_0 = f_0(\vec{x}, \vec{y}), \dots, \xi_{m-1} = f_{m-1}(\vec{x}, \vec{y})$. Then

$$M \models \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{x}) \wedge \neg \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{y})$$

and

$$M \models \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{y}) \wedge \neg \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{z}).$$

In particular

$$M \models \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{y}) \wedge \neg \varphi(\xi_0, \xi_1, \dots, \xi_{m-1}, \vec{y}),$$

which is a contradiction. □

So take $k < m$ such that

(2) $f_k(\vec{x}, \vec{y}) \neq f_k(\vec{y}, \vec{z})$.

Let $(\nu_i | i < \alpha)$ be a strictly increasing enumeration of the set X of indiscernibles, and let $(\vec{x}^{(i)} | i < \alpha)$ with

$$\vec{x}^{(i)} = \nu_{n \cdot i}, \nu_{n \cdot i + 1}, \dots, \nu_{n \cdot i + n - 1}$$

be a partition of X into ascending sequences of length n .

$$(3) f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) < f_k(\vec{x}^{(1)}, \vec{x}^{(2)}).$$

Proof. By indiscernibility, (2) implies that $f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) \neq f_k(\vec{x}^{(1)}, \vec{x}^{(2)})$. Assume for a contradiction that $f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) > f_k(\vec{x}^{(1)}, \vec{x}^{(2)})$. Then again by indiscernibility we would obtain a *decreasing* \in -sequence

$$f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) > f_k(\vec{x}^{(1)}, \vec{x}^{(2)}) > f_k(\vec{x}^{(2)}, \vec{x}^{(3)}) > \dots,$$

contradiction. □

But then

$$f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) < f_k(\vec{x}^{(2)}, \vec{x}^{(3)}) < f_k(\vec{x}^{(4)}, \vec{x}^{(5)}) < \dots$$

is an *ascending* α -sequence of indiscernibles for M with smallest element $f_k(\vec{x}^{(0)}, \vec{x}^{(1)}) < \nu_0$ which contradicts the minimal choice of $\min(X)$. □

Lemma 13. (ZF) *Let κ^+ be almost RAMSEY. Then $(\kappa^+)^{\text{HOD}} < \kappa^+$.*

Proof. Assume for a contradiction that $(\kappa^+)^{\text{HOD}} = \kappa^+$. For $\gamma \in [\kappa, \kappa^+)$ choose the $<_{\text{HOD}}$ -least bijection $f_\gamma: \gamma \leftrightarrow \kappa$. Define $F: [\kappa]^3 \rightarrow 2$ by

$$F(\{\alpha, \beta, \gamma\}) = \begin{cases} 0 & \text{iff } f_\gamma(\alpha) < f_\gamma(\beta) \\ 1 & \text{iff } f_\gamma(\alpha) > f_\gamma(\beta) \end{cases}, \text{ for } \alpha < \beta < \gamma.$$

Take $X \subseteq \kappa^+$ homogeneous for F with $\text{otp}(X) = \kappa + 2$. Let $\gamma = \max(X)$. Then define $h: \kappa + 1 \rightarrow \kappa$ by $h(\xi) = f_\gamma(\alpha_\xi)$ where α_ξ is the ξ -th element of X . *Case 1:* $\forall x \in [X]^3 F(x) = 0$. Then for $\xi < \zeta < \kappa + 1$ we have: $\alpha_\xi < \alpha_\zeta < \gamma$, $\{\alpha_\xi, \alpha_\zeta, \gamma\} \in [X]^3$, $F(\{\alpha_\xi, \alpha_\zeta, \gamma\}) = 0$, and so

$$h(\xi) = f_\gamma(\alpha_\xi) < f_\gamma(\alpha_\zeta) = h(\zeta).$$

Thus $h: \kappa + 1 \rightarrow \kappa$ is order preserving, which is impossible.

Case 2: $\forall x \in [X]^3 F(x) = 1$. Then for $\xi < \zeta < \kappa + 1$ we have: $\alpha_\xi < \alpha_\zeta < \gamma$, $\{\alpha_\xi, \alpha_\zeta, \gamma\} \in [X]^3$, $F(\{\alpha_\xi, \alpha_\zeta, \gamma\}) = 1$, and so

$$h(\xi) = f_\gamma(\alpha_\xi) > f_\gamma(\alpha_\zeta) = h(\zeta).$$

Thus $h: \kappa + 1 \rightarrow \kappa$ is a strictly descending $\kappa + 1$ chain in the ordinals, contradiction. \square

Let K^{DJ} be the canonical term for the DODD-JENSEN core model.

Proposition 14. (ZF) *Let $a \subseteq \text{HOD}$ be a set. Then*

- a) $\text{HOD}[a]$ is a set-generic extension of HOD , so $\text{HOD}[a] \models \text{ZFC}$.
- b) $(K^{\text{DJ}})^{\text{HOD}} = (K^{\text{DJ}})^{\text{HOD}[a]}$; moreover this equality holds for every level of the hierarchy, i.e., $(K_\alpha^{\text{DJ}})^{\text{HOD}} = (K_\alpha^{\text{DJ}})^{\text{HOD}[a]}$ for every $\alpha \in \text{Ord}$.

By the proposition we may define $K^{\text{DJ}} = (K^{\text{DJ}})^{\text{HOD}}$ in models without choice.

Proposition 15. *Let κ be an infinite cardinal and suppose $A \in K^{\text{DJ}} \cap \mathcal{P}(K_\kappa^{\text{DJ}})$, and that there is I , an infinite good set of indiscernibles for $\mathcal{A} = (K_\kappa^{\text{DJ}}, A)$ and that $\text{cof}(\text{otp}(I)) > \omega$. Then there is $I' \in K^{\text{DJ}}$, $I' \supseteq I$ a set of good indiscernibles for \mathcal{A} .*

Lemma 16. (ZF) *Let $\kappa > \aleph_1$ be almost RAMSEY. Then κ is almost RAMSEY in K^{DJ} .*

Proof. Let $F: [\kappa]^{<\omega} \rightarrow 2$, $F \in K^{\text{DJ}}$ be a partition. Let $\alpha < \kappa$. Then $\alpha + \aleph_1 < \kappa$. By Proposition 12, Take a set $X \subseteq \kappa$ of *good* indiscernibles for the structure $M = (K_\kappa^{\text{DJ}}, F)$ with $\text{otp}(X) \geq \alpha + \aleph_1$. Let X' be the initial segment of X of order type $(\alpha + \aleph_1)^{\text{HOD}(X)}$. In the model $\text{HOD}(X)$, X' is a good set of indiscernibles for M such that $\text{cof}(\text{otp}(X')) > \omega$. By the indiscernibles lemma applied inside $\text{HOD}(X)$ there is a set $Y \supseteq X'$, $Y \in K$ which is a good set of indiscernibles for M . Then Y is also homogeneous for the partition F of ordertype $\geq \alpha$. □

We are now able to prove the inner model direction of Theorem 4:

Lemma 17. *Con(ZF + All infinite cardinals except possibly successors of singular limit cardinals are almost RAMSEY) implies Con(ZFC + There is a proper class of regular almost RAMSEY cardinals).*

Proof. Assume $\text{Con}(\text{ZF} + \text{All infinite cardinals except possibly successors of singular limit cardinals are almost RAMSEY})$. If there is a proper class of *regular* almost RAMSEY cardinals, we are done. So assume that this is not the case, and let the cardinal θ be an upper bound for the set of regular almost RAMSEY cardinals. Then θ^{++} and θ^{+++} are not successors of limit cardinals. By assumption, θ^{++} and θ^{+++} are almost RAMSEY. By the definition of θ , θ^{++} and θ^{+++} must be singular. By [Sc99], this implies consistency strength far above RAMSEY cardinals. \square

In the following we apply the core model below a strong cardinal, denoted by the class term K . As for the DODD-JENSEN core model we get:

Proposition 18. (ZF) *Let $a \subseteq \text{HOD}$ be a set. Then $K^{\text{HOD}} = K^{\text{HOD}[a]}$.*

If there is no inner model with a strong cardinal and the axiom of choice holds then the core model K satisfies the weak covering theorem, i.e., for sufficiently large singular cardinals κ we have $\kappa^+ = (\kappa^+)^K$.

Lemma 19. (ZF) *Let κ^+ be almost RAMSEY where κ is a singular cardinal $\geq \aleph_2$. Then there is an inner model with a strong cardinal.*

Proof. Assume that there is no inner model with a strong cardinal. By Lemma 13, $(\kappa^+)^{\text{HOD}} < \kappa^+$. Since $K \subseteq \text{HOD}$, $(\kappa^+)^K < \kappa^+$. Choose a bijection $f: \kappa \leftrightarrow (\kappa^+)^K$ and a cofinal subset $Z \subseteq \kappa$ such that $\text{otp}(Z) < \kappa$. The class $\text{HOD}(f, Z)$ is a model of ZFC and it satisfies that κ is a singular cardinal such that $(\kappa^+)^K < \kappa^+$. But this contradicts the covering theorem below 0^{pistol} inside the model $\text{HOD}(f, Z)$. \square

Lemma 20. *Assume ZF and that every infinite cardinal is almost RAMSEY. Then there exists an inner model with a strong cardinal.*

Proof. By assumption, $\aleph_{\omega+1}$ is almost RAMSEY and the successor of the singular cardinal $\aleph_\omega \geq \aleph_2$. Now use Lemma 19. \square